New chiral α -aminophosphine oxides and sulfides: an unprecedented rhodium-catalyzed ligand epimerization

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New chiral α -aminophosphine oxide N,P(O) and sulfide N,P(S) ligands have been prepared in one-pot syntheses by addition of Ph₂PH to (S)-PhCH=NCH(Ph)CH₃, followed by oxidation with O₂ or S₈. Crystallization from cold methanol leads to the isolation of an enantiomerically pure single N,P(O) diastereomer and to a 1:1 mixture of the two N,P(S) diastereomers. The coordination chemistry of these ligands with [RhCl(COD)]₂ and [RhCl(CO)₂]₂ has been investigated under argon and syngas. At high temperatures, a P-C oxidative addition of the N,P(O) ligand followed by imine elimination leads to several hydrido rhodium species. The complexes containing an N,P(S) ligand undergo the same process at room temperature. Catalytic amounts of the rhodium complexes catalyze the epimerization of the N,P(S) ligand under argon at room temperature, the dicarbonyl complex being 14 times more active than the COD complex. The same catalyzed epimerization takes also place for the N,P(O) ligand, but much more slowly, and only at 35 °C and under a syngas pressure. Possible mechanisms for this catalytic process are discussed. Catalytic tests in styrene hydroformylation have shown high activities and regioselectivities, but no enantioselectivity, for the N,P(O)-containing rhodium complexes.

The challenge of asymmetric hydroformylation is not only related to chemoselectivity (hydroformylation vs. hydrogenation) and regioselectivity (branched vs. normal aldehyde), but also to enantioselectivity.^{1,2} The hydroformylation of vinyl aromatics leads mainly to branched aldehydes, which can be further oxidized to the corresponding acids. These compounds are effective nonsteroidal analgesics.^{3,4} Rhodium complexes containing chiral diphosphine ligands give higher activity and regioselectivity vs. platinum complexes, with moderate enantiomeric excesses (e.e.). However, by using diphosphite and phosphine-phosphite ligands, the enantioselectivity has been improved to 90% and more than 94%, respectively.^{5,6} Three reviews on asymmetric hydroformylation have been published during the last few years. 1,2,7 If chiral phosphorus ligands seem to be very attractive for this reaction, most of them are air-sensitive and their preparation is rather tedious. Recently described chiral thioureas are the first airstable ligands leading to a significant enantioselectivity (40% e.e.), albeit with a low conversion of 7%.8 Since there is a strong demand for air-stable and easily accessible chiral ligands, we decided to develop new air-stable chiral aminophosphine oxide ligands [hereafter abbreviated as N,P(O)] and related sulfide ligands [N,P(S)]. In fact, ligands such as A or B give not only greater catalytic activities in hydroformylation than their corresponding aminophosphines in combination with rhodium, but also higher branched/normal aldehyde ratios. 9-11 It is notable that a set of optically pure α-aminophosphine oxide ligands (P-C*-N) of type A has recently been obtained by catalytic enantioselective addition of diphenylphosphine oxide to cyclic imines.¹² To the best of our knowledge, the use of such chiral α-aminophosphine oxides and sulfides (of type A) in asymmetric hydroformylation has not so far been reported. We note, however, that a good conversion (85%) with a high enantioselectivity (84%) has recently been reported for the N,P(O) ligand C in the Ru-catalyzed transfer hydrogenation of phenylisopropyl ketone. 13 In this paper, we present the synthesis of new chiral

 α -aminophosphine oxides and sulfides with a secondary amine function, and their use in asymmetric hydroformylation of olefins. Our results show that these ligands are not good chiral auxiliaries for the enantioselective branched hydroformylation and that they epimerize rapidly in the presence of rhodium.

Results and discussion

1. Synthesis of the aminophosphine oxide and sulfide ligands

In order to obtain optically pure ligands, we based our synthetic approach on the two simple reactions in Scheme 1. The first step, namely the addition of Ph₂PH to an imine, has already been studied and recently reported by us. The addition proceeds to an equilibrium position that was found to be strongly affected by the electron richness of the system. ^{14,15} The second step consists of an oxidation reaction with either oxygen or sulfur. We used an optically active starting imine

PhCH=N
$$CH_3$$
 $(CH_3) + Ph_2PH$ (CH_3) $(CH_3) + Ph_2PH$ $(CH_3$

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compound, namely (S)-PhCH=NCH(Ph)CH₃, in order to induce chirality at the central C atom and hopefully lead to a single diastereomer or to two diastereomers that could be easily separated by virtue of their different solubility properties

Before realizing the one-pot preparation of the N,P(O) and N,P(S) ligands, we wished to examine in more detail the previously communicated¹⁴ equilibrium between the starting imine and Ph₂PH mixture and the two diastereomers of the intermediate aminophosphine 1 [eqn. (1)]. An NMR study in CDCl₃ showed that an equilibrium was achieved after 5 h at room temperature.

$$Ph_{2}PH + PhCH=N \xrightarrow{(S)} CH_{3}$$

$$Ph \xrightarrow{Ph} Ph \xrightarrow{Ph} Ph$$

$$Ph_{2}P \xrightarrow{NH} CH_{3} Ph_{2}P \xrightarrow{NH} CH_{3}$$

$$Svn-1 \qquad anti-1$$

$$(1)$$

Fig. 1 shows the time evolution of the diastereomers syn-1 (R,S) and anti-1 (S,S) from ¹H NMR monitoring in CDCl₃. The absolute configurations were determined by analogy with both diastereomers of CH₃CH(Ph)-NHCH(Ph)CH₃, which give signals for the benzylic and methine hydrogens at higher fields for the (S,S) diastereomer than for the (R,S) one. ¹⁶ This was explained by assuming a zig-zag conformation together with anti and syn dispositions of the aryl groups in the (S,S) and (R,S) diastereomers, respectively. ¹⁷ Hence, in the anti or (S,S) isomer each benzylic hydrogen is subjected to the ring current effect of the non-adjacent aryl group. Thus, the ¹HNMR spectra (see Experimental) differ significantly, especially for the benzyl and methine signals. ¹⁶

It is interesting to note from Fig. 1 that both syn and anti stereoisomers form at approximately the same rate at the beginning of the reaction. However, the diastereomeric ratio changes remarkably after ca. 15 min. Indeed, the anti diastereomer continues to form whereas the amount of the syn one decreases until an equilibrium is reached, which corresponds to a diastereomeric excess (d.e.) of 62% in favor of the anti α -P,N ligand. This means that the two imine enantiofaces are equally accessible to the phosphine attack, but the reversible P–C bond formation equilibrium eventually puts the process under thermodynamic control, to yield an equilibrium constant of $K_{anti/syn} = 4.25$. Clearly, because of the above equilibrium, it is impossible to separate the two diastereomers of 1.

After achieving equilibrium, the reaction mixture was then slowly oxidized (by opening the NMR sample to air). The half time of this oxidation reaction is estimated to be ca. 4 h and

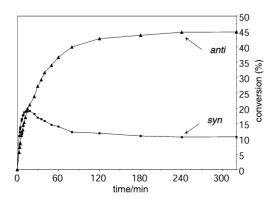


Fig. 1 Kinetic and thermodynamic controls in the formation of chiral $\alpha\text{-P,N}$ (1) ligands.

the oxidation process is *irreversible*. This irreversibility is demonstrated by the stability of the pure (*R*,*S*) aminophosphine oxide (obtained by crystallization, see below) toward epimerization in CDCl₃ solution. In a preparative scale process carried out in Et₂O as solvent, the air-stable aminophosphine oxide ligand Ph₂P(O)CH(Ph)NHCH(Ph)CH₃, **2**, forms with a poor d.e. (*syn*) of 12% (Scheme 2). As diastereomers should exhibit different solubility properties, a separation by fractional crystallization was attempted. White needles of the pure *syn*-2 diastereomer were obtained quantitatively by a single crystallization from cold methanol. The ¹H and ³¹P{¹H} NMR analyses confirmed the presence of the single *syn*-2 diastereomer. The (*R*,*S*) configuration of the chiral carbon atoms is confirmed by the X-ray structural analysis (see below).

When the oxidation reaction was carried out in a CH₂Cl₂ solution with sulfur instead of oxygen, the ¹H and ³¹P{¹H} NMR analyses of the crude product showed complete conversion after one week. The irreversibility of this transformation is shown, as for the oxide derivative, by the stability of the crystallized sample toward epimerization infra). The aminophosphine sulfide Ph₂P(S)CH(Ph)NHCH(Ph)CH₃, 3, forms with a moderately high d.e. (anti) of 70% (Scheme 2). The different d.e. in the oxygen and sulfur oxidations must result from a kinetic control during the addition reaction of Ph₂P(E)H (E = O or S) to the imine. Indeed, the ³¹P NMR spectra of aliquots withdrawn during both oxidation reactions never show the presence of free Ph₂PH. Rather, signals corresponding to $Ph_2P(E)H$ (E = O or S) are observed.

Unfortunately, attempts to separate the two diastereomers of 3 by crystallization from cold methanol as described for the analogous oxide ligand led to a 1:1 diastereomeric mixture in 29% isolated yield. Thus, the solution is completely depleted of isomer *syn-3* and pure *anti-3* should remain in solution. Isolation of the pure *anti-3* ligand from the residual filtrate, however, was prevented by traces of unreacted Ph₂P(S)H.

2. X-Ray structural analyses of ligands 2 and 3

Suitable crystals of syn-2 and syn/anti-3 (1:1) for the X-ray diffraction analyses were obtained under identical conditions (see Experimental). Nevertheless, quite surprisingly, the unit cell of the N,P(O) ligand contains only the (R,S) diastereomer, whereas the N,P(S) ligand leads instead to the cocrystallization of the (R,S) and (S,S) isomers. The absolute configuration observed for the aminophosphine oxide confirms the assignment made earlier on the basis of NMR data. Neither compound shows any inter- or intramolecular $NH\cdots E$ bonding interaction. Consequently, both are present as monomers in the solid state (see Fig. 2). Selected bond lengths and angles are reported in Table 1. The P-C(1) bond lengths in the N,P(S) ligand [1.893(2) and 1.856(2) Å for the

Scheme 2

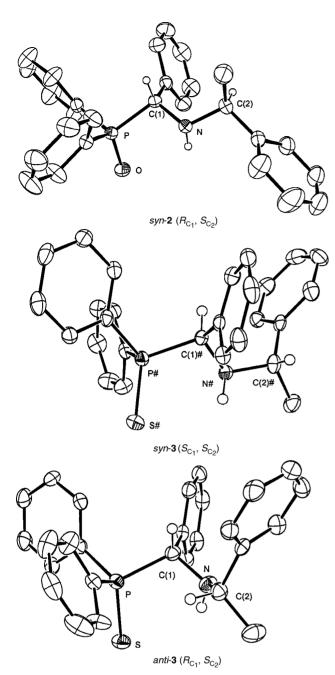


Fig. 2 ORTEP views of compounds syn-2 and syn/anti-3. The two diastereomers in the latter view are shown in their correct relative orientation. Thermal ellipsoids are drawn at the 30% probability level for syn-2 and 50% for syn/anti-8. For clarity, only relevant hydrogen atoms are shown.

syn and anti diastereomers, respectively] are longer than those found in its oxide counterpart [1.832(2) Å]. This can be directly correlated with the weaker electron-withdrawing effect of the sulfur atom relative to the oxygen atom. This difference

Table 1 Selected bond distances (Å) and angles (°) for compounds syn-2 and syn/anti-3

	syn-2 (E = O)	syn-3 (E = S)	<i>anti-</i> 3 (E = S)
Р-Е	1.4870(13)	1.9642(9)	1.9651(8)
P-C(1)	1.832(2)	1.893(3)	1.856(2)
N-C(1)	1.462(2)	1.452(3)	1.463(3)
E-P-C(1)	116.66(8)	111.17(8)	112.69(8)
P-C(1)-N	104.82(12)	111.32(16)	103.90(14)
H-C(1)-P-E	170(1)	157(1)	163(1)

indicates that it should be easier to cleave the P-C(1) bond for the N,P(S) ligand than for the aminophosphine oxide. Moreover, in contrast to the average P-C bond length found in 19 other structures containing the Ph₂P(S)-C(sp³)H moiety in the Cambridge Crystallographic Database, which is 1.83(1) Å, the P-C(1) bond length in the syn-3 diastereomer is abnormally longer. This further indicates that the P-C bond in this compound could be easier to cleave. These assumptions will be confirmed in a later section concerning the rhodiumcatalyzed epimerization. It is also notable that this isomer with the unusually long P-C bond, therefore presumably having a higher relative energy, corresponds indeed to the minor diastereomer at equilibrium according to the NMR analysis. It can be noted that the P-C(1)-N angle is significantly different in the two diastereomers of 3 and that no clear correlation exists between syn-2 and syn-3 for this parameter.

3. Interaction of ligands 2 and 3 with rhodium complexes

Before carrying out catalytic tests, the stoichiometric interactions between the N,P(O) and N,P(S) ligands and the rhodium precatalysts [RhCl(COD)]2 and [RhCl(CO)2]2 were investigated. Stirring a 2:1 mixture of syn-2 and [RhCl(COD)]₂ in CDCl₃ solution for 15 min at room temperature leads to a single product that, according to the NMR analyses, has an uncoordinated P=O moiety (31P NMR chemical shift identical to the free ligand), see Scheme 3. The N-coordination is not clearly shown by the ¹H NMR because of overlap of the NH resonance with those of the coordinated cyclooctadiene protons. However, this coordination can reasonably be expected considering the ability of secondary amines to split the chloride bridges in [RhCl(COD)]2, as previously proposed (although without spectroscopic evidence) for analogous α-aminophosphonate ligands. 18 The above solution was then heated to 80 °C for 15 min. After evaporation of the solvent, the ¹H and ³¹P NMR spectra in C₆D₆ show at least four different hydrido rhodium complexes (D) and the presence of free imine (Scheme 3). These products presumably result from a P-C oxidative addition followed by imine elimination. Note that the Rh(III)-PPh₂(O) moiety has recently been identified by X-ray diffraction analysis in dirhodium chloride complexes.¹⁹ At temperatures as low as 55 °C, similar hydrido rhodium species begin to appear after 4 h in very minor quantities. The same NMR resonances are generated in a control experiment involving the addition of $Ph_2P(O)H$ to the same rhodium substrate at 80 °C in C_6D_6 .

The addition of compound syn-2 to $[RhCl(CO)_2]_2$ at room temperature, on the other hand, leads to a rhodium complex containing a bidentate N,P(O) ligand, which is stable in solution for several hours. The bidentate coordination mode is confirmed by a new broad peak of the phosphine oxide moiety at 40.7 vs. 31.5 ppm for the free ligand and by the $v_{P=O}$ absorption band at 1184 vs. 1265 cm⁻¹ for the free ligand. In

addition, the NH resonance in the ¹H NMR spectrum is also shifted to lower field by coordination. However, two IR absorption bands in CDCl₃ at 2086 and 2015 cm⁻¹ indicate the presence of two types of terminal carbonyl ligands. This observation, combined with the broad signals in the ¹H and ³¹P NMR spectra, suggest a dynamic isomerization exchange, E in Scheme 3, similar to that reported for the complex RhCl(CO)[κ²-N,P(O)] with N,P(O) = Ph₂P(O)CH₂NMe₂. ⁹ When this reaction was carried out under a CO atmosphere {by preparing [RhCl(CO)₂]₂ in situ from [RhCl(COD)]₂ under CO}, the same E complexes are obtained. However, a new square planar compound also forms, in which the P=O moiety is uncoordinated due to the hemilabile character of the ligand under CO.

The stoichiometric reaction between the N,P(S) ligand {1:1 mixture of syn and anti diastereomers of 3 with [RhCl(CO)₂]₂ {or with [RhCl(COD)]₂ under CO} at room temperature either in CDCl₃ or C₆D₆ led, after 30 min, to a mixture of four rhodium complexes according to the ³¹P NMR spectrum. The two major products are characterized by ³¹P singlets at 50.42 and 50.25 ppm in CDCl₃, that is close to the chemical shifts of the two diastereomers of the free ligand. Integration shows that the d.e. has surprisingly changed from 0% in the free ligand to 70% in the Rh products. The Ncoordination mode is confirmed by the ¹H NMR chemical shift of the NH proton from 3.55 ppm for the free amine to 3.80 ppm in C₆D₆ solution. Therefore, in contrast to the same reaction of the N,P(O) ligand described above, ligand 3 binds rhodium in a κ^{1} -N fashion. This is surprising because the reaction of the very similar ligand Ph2PCH2P(S)Ph2 with $[RhCl(CO)_2]_2$ was reported to lead to $RhCl(CO)[\kappa^2$ -Ph₂PCH₂P(S)Ph₂] in which the ³¹P NMR resonance for the P(S)Ph, moiety shifts to 52 ppm from the free ligand value of

The other two reaction products exhibit ³¹P NMR doublets at 114 (with $J_{PRh} = 179$ Hz) and at 71 ppm (with $J_{PRh} = 147$ Hz), showing the direct interaction of the rhodium center with phosphorus rather than with sulfur. These signals can likely be assigned to two types of Rh-P species that we have not been able to fully characterize, likely formed by a P-C oxidative addition process. These chemical shifts and the high Rh-P coupling constants may be consistent with terminal or bridging (µ: P,S) modes for the P(S)Ph2 ligand, both of which have previously been established.^{22,23} At this point, we conclude that rhodium complexes containing the N,P(S) ligand 3 are unstable in solution, even at room temperature. Finally, since the complexes containing the N,P(O) ligand syn-2 are unstable only at high temperatures and since low temperatures are known to decrease the conversion rate but at the same time favor higher enantioselectivities in hydroformylation reactions, 2,6,24 we decided to examine the catalytic activity of Rh complexes containing the ligand syn-2 at relatively low temperatures (≤ 55 °C).

4. Rhodium-catalyzed hydroformylation of styrene with ligands syn-2 and 3

The results of all catalytic studies are assembled in Table 2. All runs were carried out at a temperature of 55 or 35 °C, and at a total pressure of 400 or 500 psi for the 1:1 CO-H₂ mixture, in chloroform or in benzene as solvent. A control catalytic run without ligand (entry 1) shows complete conversion after 20 h with a b: 1 regioselectivity of 89:11. By using Eu(hfc)₃ as a chemical shift reagent, no e.e. was observed, as expected. In the presence of ligand syn-2 (run 2) the conversion rate is five times higher with the same regioselectivity, but with zero enantioselectivity. The use of the less polar solvent C₆H₆ (run 3) decreases the activity. Unfortunately, the regioselectivity is moderate and the catalyst is still nonenantioselective, even though benzene is known to be the best solvent to favor high regioselectivities and to prevent aldehyde epimerization.^{5,6} Slightly lower activities and zero enantioselectivity are also observed by working at a lower temperature in chloroform (run 4), however the b:1 ratio is greatly increased under these conditions.

The promoter effect of ligand syn-2 is further demonstrated by the following additional experiments. The conversion was found to be linear with time (zero-order dependence on substrate) and dependent of the ligand: metal ratio as illustrated in Fig. 3 for experiments carried out in chloroform at 35 °C. In all cases, the regioselectivity is greater than 95:5 and the enantioselectivity is zero. The highest activity corre-

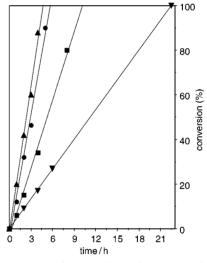


Fig. 3 Conversion as a function of time for the hydroformylation of styrene at various syn-2: Rh ratios: 0.5:1 (squares), 1:1 (triangles), 2:1 (circles), 10:1 (inverted triangles). Reaction conditions: 0.025 mmol [RhCl(COD)]₂, 30 ml of CHCl₃, T=35°C, $P(CO:H_2 1:1) = 500$ psi. The conversion and regioselectivity (95 to 97% for all experiments) were determined by 1H NMR spectroscopy.

Table 2 Hydroformylation of styrene catalyzed by [RhCl(COD)]₂ and aminophosphine oxide (syn-2) or sulfide (syn/anti-3) ligands^a

Entry	Solvent	Ligand	T/°C	P/psi	% conversion	b (time/h)	% b:1 ^b
1	CHCl ₃	_	55	400	4(3 h)	100(20 h)	89 : 11
2	CHCl ₃	2	55	400	88(3 h)	100(4 h)	89:11
3	C_6H_6	2	55	400	16(3 h)	$100(21 \text{ h})^c$	85:15
4	CHCl ₃	2	35	500	60(3 h)	$100(6 \text{ h})^c$	96 : 4
5^d	CHCl ₃	2	35	500	35(3 h)	$100(8 \text{ h})^e$	96 : 4
6	CHCl ₃	3	55	400	0(3 h)	30(70 h)	95:5
7^f	CHCl ₃	3	55	400	0(3 h)	30(67 h)	93:7
8	CHCl ₃	Ph,P(O)H	35	500	3(3 h)	50(21 h)	96 : 4

^a Reaction conditions: $[RhCl(COD)]_2$ (0.025 mmol), solvent (40 ml), $CO + H_2$ (1:1), styrene: Rh: ligand (167:1:1). ^b Determined by ¹H NMR spectroscopy, no ethylbenzene detected. ^c The e.e. at complete conversion is 0%. ^d Vinylnaphthalene used instead of styrene under similar conditions. ^e The e.e. at complete conversion is 3%. ^f $[Rh(COD)_2](CF_3SO_3)$ used instead of $[RhCl(COD)]_2$ under similar conditions.

sponds to a ligand: metal ratio of 1:1, while it is much lower for a ratio of 0.5:1, slighly lower for 2:1, and then it decreases dramatically when a ratio of 10:1 is used. The TOF for the experiment with 0.5 equiv. of ligand [10.2 mol mol(Rh)⁻¹ h⁻¹] is approximately one half the TOF found with 1 equiv. of ligand [21.6 mol mol(Rh)⁻¹ h⁻¹]. A possible interpretation of these results is that only half the rhodium forms the complex containing the aminophosphine oxide ligand and subsequently the activity is decreased by a factor of two, while higher ratios likely force the coordination of two or more ligands to the rhodium center *via* equilibrium processes, consequently decreasing the number of coordination sites and leading to less active species.

Since ligand syn-2 promotes the activity of the rhodium catalyst for the hydroformylation of styrene, other olefins have also been tested under the same conditions. Methyl methacrylate did not yield aldehydes after one day, and a conversion of only 7% was achieved with α -methylstyrene after 70 h. This catalytic system seems to be best suited for the hydroformylation of electron-deficient vinyl aromatics. Since, in some cases, better e.e.s have been obtained with vinylnaphthalene instead of styrene, 24,25 this olefin was also tested, yielding results comparable to styrene. The activity is slightly lower, the regioselectivity is about the same (entry 5), and the e.e. is quite small (3%).

Use of the N,P(S) ligand 3 with neutral or cationic rhodium precursors gave dramatically lower activities (see entries 6 and 7 in Table 2), albeit with surprisingly high regioselectivities, by analogy with the previous studies with the $Ph_2P(E)CH_2NMe_2$ (E = S vs. O) ligands.^{9,10} The rapid decomposition of the Rh complexes with ligand 3 mentioned in the previous section may rationalize these results. In other cases, however, cationic precursors displayed increased activities.^{8,26}

The last experiment in Table 2 (run 8) shows that the use of the phosphine oxide $Ph_2P(O)H$ leads to a poorer catalytic performance relative to ligand syn-2 (entry 4). The reaction of this phosphine oxide with the rhodium precursor, as shown in the previous section, leads to the same products originating from the thermal decomposition of the rhodium complex with ligand syn-2 (form **D** in Scheme 3). This result further demonstrates that the coordinated aminophosphine oxide is an efficient ligand for styrene hydroformylation catalysis under conditions where this decomposition does not occur.

The observed racemic mixture of the branched aldehyde product could well result from an aldehyde racemization reaction⁸ but, considering the relatively short reaction time, we concluded that this cannot be the only reason to rationalize the absence of enantioselectivity. Thus, we wished to investigate the interaction between the rhodium center and ligands 2 and 3 in more detail.

5. Rhodium-catalyzed epimerization of aminophosphine oxide and sulfide ligands

We have mentioned above that the aminophosphine sulfide is not efficient in hydroformylation. However, an NMR investigation of the interaction between 3 and [RhCl(CO)₂]₂ surprisingly showed that rhodium induces ligand epimerization. Upon Rh coordination, the anti: syn ratio (as determined by ³¹P NMR integration) changes from 50:50 to 85:15 after ca. 30 min. The final ratio of the two coordinated ligand diastereomers corresponds to the ratio of the two free diastereomers before crystallization (see Scheme 3). Since all signal intensities do not further change after several hours (including those of the other by-products, see section 3), all compounds are considered to be in a thermodynamic equilibrium situation. We were then stimulated to interact ligand 3 with a catalytic amount of [RhCl(COD)]2. Complete equilibration was achieved after 8 h instead of 30 min (see Fig. 4), to yield again the same anti: syn ratio of 85:15. As mentioned earlier

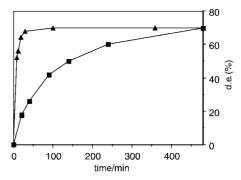


Fig. 4 Rhodium-catalyzed epimerization of $[Ph_2P(S)CH(Ph)-NHCH(Ph)Me]$. Reaction conditions: ligand: Rh ratio = 10:1, C_6D_6 (0.5 ml) at R.T. Squares: $[RhCl(COD)]_2$ (0.05 mmol); triangles: $[RhCl(CO)_2]_2$ (0.05 mmol).

(see X-ray structure analysis discussion in section 2), the lower stability of the *syn* diastereomer may be the consequence of its longer P–C(1) bond length.

Under the same conditions, the chlorodicarbonylrhodium(t) dimer exhibits a higher activity, leading to complete equilibration in less than one hour (see Fig. 4). To the best of our knowledge, there is only one example of ligand epimerization {for the bifunctional S,O ligand (R)-2-[(R)-phenylsulfinyl]propionic acid} upon stereoselective and stoichiometric coordination to hemimetallocenic Rh^{III}, Ir^{III}, Ru^{II} or Os^{II} complexes.²⁷ Here, we report the first case where such a process is Rh-catalyzed. By applying the kinetic analysis of reversible first-order reactions to our experimental measurements [eqn. (2)],

$$k_{\text{obs}} t = \ln[\%syn_{\text{eq}}/(\%syn_{\text{t}} - \%syn_{\text{eq}})]$$
 (2)

with

$$k_{\text{obs}} = k_{\text{obs}+} + k_{\text{obs}-}$$
 and % $syn_{\text{eq}} = 15\%$,

a satisfactory fitting is obtained (see Fig. 5), giving a rate constant $k_{\rm obs}$ of $8.6(2)\times 10^{-3}$ ($t_{1/2}=80$ min, $R^2=0.996$) and of 0.117(4) min⁻¹ ($t_{1/2}=5.9$ min, $R^2=0.994$) for systems using [RhCl(COD)]₂ and [RhCl(CO)₂]₂, respectively. This means that the conversion rate with the latter catalyst is ca. 14 times faster, which may be related to the greater electrophilic character of the rhodium center in this catalytic system.

From the values of the equilibration rate constant $(k_{\rm obs+} + k_{\rm obs-})$ and the equilibrium constant $(k_{\rm obs+} + /k_{\rm obs-})$, the individual values of $k_{\rm obs+}$ and $k_{\rm obs-}$ may be derived. Experiments with different catalyst concentrations have not been

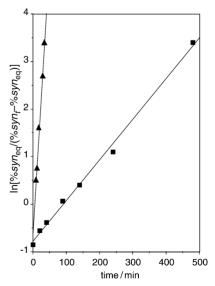


Fig. 5 Fitting of the kinetic data from Fig. 4 by eqn. (2).

carried out. However, under the reasonable assumption that both direct and reverse reactions are first-order in the catalyst (a catalyst influence on the rate is shown by the shorter equilibration time when the rhodium complex was used stoichiometrically), namely $k_{\rm obs+/-} = k_{+/-} [{\rm Rh}]$, the second-order rate constants k_+ and k_- for the $[{\rm RhCl(COD)}]_2$ catalyzed process are $7.31(3)\times 10^{-2}$ and $1.29(3)\times 10^{-2}$ 1 mol⁻¹ min⁻¹, while those for the $[{\rm RhCl(CO)}_2]_2$ catalyzed process are 0.995(6) and 0.175(6) 1 mol⁻¹ min⁻¹, respectively (see Scheme 4).

In the case of the N,P(O) ligand, no epimerization could be detected by using [RhCl(COD)]₂, even after 24 h. However, a slow epimerization was observed when the same experiment was conducted under a carbon monoxide pressure and even faster under a syngas pressure (see Fig. 6). The increased rate in the presence of hydrogen suggests that a hydride species formed *in situ* catalyzes this epimerization process more efficiently. This state of affairs is analogous to that described for a rhodium-catalyzed reversible P-C bond cleavage of PPh₃. ^{28,29} The more difficult epimerization of the N,P(O) ligand is probably the consequence of the stronger P-C bond (see X-ray structure analysis discussion), resulting from the stronger electron-withdrawing effect of the oxygen atom vs. the sulfur atom.

Furthermore, Fig. 6 shows a faster epimerization of the oxide ligand 2 when using a greater metal: ligand ratio, as also observed for the analogous sulfide ligand 3. Because of the more stringent epimerization conditions, further studies to establish the equilibrium position were not carried out. The d.e. obtained (12%) after 24 h with a 5:1 ligand: metal ratio and under a syngas pressure is identical to the d.e. of the free ligand before crystallization (see section 1). By comparison with the results of the sulfide ligand epimerization, this may well correspond to a thermodynamic equilibrium.

It should be noted that the conditions leading to the extensive epimerization of ligand syn-2 (r.t., 24 h) are comparable to the conditions used for the hydroformylation catalysis (35 °C, 3 h). In addition, an equimolar amount of Rh and ligand are

[Rh]	$k_{+}/ \text{mol}^{-1} \text{min}^{-1}$	k_/I mol ⁻¹ min ⁻¹
Rh ₂ Cl ₂ (CO) ₄	7.31(3) x 10 ⁻²	1.29(3) x 10 ⁻²
Rh ₂ Cl ₂ (COD) ₂	0.995(6)	0.175(6)

Scheme 4

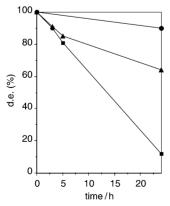


Fig. 6 Rhodium-catalyzed epimerization of *syn-2*. Reaction conditions: $[RhCl(COD)]_2$ (0.025 mmol), $CHCl_3$ (30 ml), $T=35\,^{\circ}C$. Circles: CO (P=400 psi), ligand: Rh ratio =10:1; triangles: $CO-H_2$ (1:1, P=400 psi), ligand: Rh ratio =10:1; squares: $CO-H_2$ (1:1, P=400 psi), ligand: Rh ratio =5:1.

used under the hydroformylation conditions, leading to an expected much faster epimerization. Indeed, a run of syn-2: Rh = 1:1 under catalytic conditions (Table 2, entry 4) in the absence of styrene was stopped after 3 h and the recovered catalyst, upon treatment with tmeda, gave free 2 with a syn: anti ratio of 59:41 (18% d.e.). It is then reasonable to propose that the failure to observe any e.e. for this catalytic hydroformylation may be related to the ligand epimerization under syngas conditions.

6. Mechanistic considerations of the rhodium-catalyzed epimerization

As the observed rhodium-catalyzed ligand epimerization appears to be an unprecedented phenomenon, we have considered its possible mechanism. Some suggestions may be formulated on the basis of previously established reactivity. Under appropriate conditions C_{ar} –H, C_{sp^3} –H or P–C oxidative additions involving PPh₃ or other functionalized phosphines take place with Co(i), Rh(i) or Ir(i), $^{28-30}$ for example. Two possible catalytic pathways have therefore been considered, involving reversible C–H or P–C bond cleavages as shown in Schemes 5 and 6, respectively.

For the N,P(O) epimerization, the best activities were observed under CO at high pressures, where the complex probably exists under the monodentate form RhCl(CO)₂[κ^1 -N,P(O)] according to the stoichiometric studies (see section 3). An equilibrium with the bidentate form, RhCl(CO)[κ^2 -N,P(O)], however, is established at low CO pressures. For the N,P(S) epimerization, on the other hand, the monodentate form RhClL₂[κ^1 -N,P(S)] [L₂ = COD or (CO)₂] seems present for both catalysts. It seems likely that the bidentate coordination is not necessary for this catalytic transformation.

For the C–H pathway (Scheme 5), a reversible C_{sp3} –H oxidative addition to the starting complex (*R*)-F would lead to the formation of intermediate (*R*)-G, stabilized by coordination of the P=E function in a pseudo-allylic moiety. Since the stereogenic carbon atom is blocked during this process, its reversal could only lead back to the starting absolute configuration. However, epimerization could occur *via* an η^3/η^1 equilibration of the pseudo-allyl ligand to give intermediate H, for which free rotation around the P–E single bond is possible. An alternative possibility is a reversible E–H reductive elimination to generate intermediate I, for which an alterna-

reversible C-H bond cleavage

reversible P-C bond cleavage

Ph
$$R^*$$
 R^* R^*

tive N-bonded isomeric form is also possible. Reversal of all steps leads to (S)-F. It is interesting to remark that the N-bonded isomer of I is a tautomeric form of the starting compound (R)-F. Therefore, transformation of (R)-F to (S)-F could also be achieved *via* this intermediate I without the need for the hydrogen atom to transit *via* the Rh center.

For the P–C pathway (Scheme 6), a reversible P–C oxidative addition from (R)-**F** leads to the 16-electron intermediate (R)-**J**, which may be capable of deinserting the imine to lead to (R)-**K**. Inversion of configuration may be achieved at this point by η^2/η^1 imine rearrangement through **L**, without the need to decoordinate the imine. On the other hand, we have shown that the imine is expelled from the N,P(O) complex at high temperatures, with formation of a mixture of hydride products (see section 3). As already stated above, a Rh(III)-PPh₂(O) fragment in dirhodium chloride complexes has recently been proved by X-ray diffraction analysis. ¹⁹ This experimental observation is the only tenuous criterion that currently makes us favor the P–C pathway.

Conclusions and perspectives

We have described what appears to be the first example of an interesting rhodium-catalyzed epimerization process. The aminophosphine oxide ligand 2 is efficient and regioselective in the rhodium-catalyzed hydroformylation of styrene, but is not an asymmetry-inducing ligand. The epimerization of the chiral center between the nitrogen and phosphorus atoms under the catalytic hydroformylation conditions may be related to this lack of enantioselective induction. The epimerization occurs by a mechanism that appears to involve a reversible P-C bond cleavage. This observation shows that this type of N,P(O) ligand, albeit sufficiently active and regioselective, cannot be used in asymmetric hydroformylation with rhodium catalysts. Furthermore, the corresponding N,P(S) ligand 3 generates a less efficient catalyst, because of an easier P-C oxidative addition and catalyst deactivation.

The development of enantioselective branched hydroformylation catalysts with this class of ligands requires structural modifications that block or strongly disfavor these epimerization and deactivation pathways. Efforts in this direction are currently being pursued in our laboratory. We also note that all epimerization mechanisms (except *via* direct tautomerization to intermediate I in Scheme 5) require an oxidative addition process. In the absence of rhodium, both ligands 2 and 3 are configurationally stable. Therefore, we are also interested in applying these ligands to enantioselective catalytic reactions with metals that cannot undergo oxidative addition processes.

Experimental

Materials and methods

All reactions were carried out in Schlenk-type flasks under argon atmosphere. Solvents were purified and dried under dinitrogen by conventional methods. The ¹H, ³¹P{¹H} and ¹³C{¹H} NMR spectra were recorded at 200.13, 81.03 and 51.32 MHz, respectively, on a Bruker AC 200 instrument in CDCl₃ solution. All chemical shifts are given in ppm. The infrared spectra were recorded in CH₂Cl₂ or in CHCl₃ solution with an IFS 66 Perkin–Elmer instrument. The α rotation polarization angle was measured on a Perkin-Elmer 141 Polarimeter. The elemental analyses were carried out on a Fisons EA 1108 apparatus. The imine compound was prepared by the slow addition of a stoichiometric amount of distilled benzaldehyde to a dichloromethane solution of (S)-methylbenzylamine at 0 °C, according to the literature.31 The water formed was removed under vacuum at 120 °C for 3 h. The compounds (S)-methylbenzylamine, sulfur, [RhCl(COD)], (COD = 1,5-cyclooctadiene) and [RhCl(CO),], were obtained from commercial sources and used as received, while Ph₂PH was prepared by the conventional method.32

Syntheses

Formation of (*R*,*S*)- and (*S*,*S*)-Ph₂PCH(Ph)NHCH(Ph)CH₃, 1. To a solution of (*S*)-PhCH=NCH(Ph)CH₃ (0.060 g, 0.287 mmol) in CDCl₃ (1 ml) was added Ph₂PH (50 μl, 0.287 mmol). The mixture was stirred for 12 h, yielding an equilibrium of 1 with the starting materials. 1: ¹H NMR (CDCl₃): δ 7.85–6.87 (m, aromatics) and 2.00 (s, br, NH); for (*R*,*S*)-1: 4.70 [d, PCH, ²*J*(PH) = 4.9 Hz], 3.74 [q, NCH, ²*J*(HH) = 6.4 Hz], 1.36 [d, CH₃, ²*J*(HH) = 6.4 Hz]; for (*S*,*S*)-1: 4.16 [d, PCH, ²*J*(PH) = 5.4 Hz], 3.61 [q, NCH, ²*J*(HH) = 6.4 Hz], 1.28 [d, CH₃, ²*J*(HH) = 6.4 Hz]. d.e. (anti/syn) = 62%. ³¹P{¹H} NMR (CDCl₃): δ 1.49 (s) for (*R*,*S*)-1 and 0.89 (s) for (*S*,*S*)-1.

Air oxidation of (*R*,*S*)- and (*S*,*S*)-1. NMR monitoring. To a solution of (*S*)-PhCH=NCH(Ph)CH₃ (0.060 g, 0.287 mmol) in CDCl₃ (1 ml) was added Ph₂PH (50 μ l, 0.287 mmol). After the equilibrium of 1 with the starting materials was attained (3 h), an air stream was directly introduced into the CDCl₃ solution, which was then stirred for 20 h. The ³¹P{¹H} NMR spectrum showed that the oxidation reaction was nearly complete, yielding both diastereomers of 2 with a d.e. (*syn/anti*) of 12%. The NMR data of *syn-2* are given in the next section. *anti-2*: ¹H NMR (CDCl₃): δ 7.45–6.88 (m, aromatics), 4.10 [d, PCH, ²J(PH) = 9.9 Hz], 3.61 [q, NCH, ²J(HH) = 6.9 Hz], 2.57 (s, b, NH, exchange with D₂O), 1.24 [d, CH₃, ²J(HH) = 6.9 Hz]. ³¹P{¹H} NMR (CDCl₃): δ 32.85 (s).

Synthesis of (R,S)-Ph₂P(O)CH(Ph)NHCH(Ph)CH₃, 2. To a solution of (S)-PhCH=NCH(Ph)CH₃ (0.940 g, 4.50 mmol) in Et₂O (15 ml) was added Ph₂PH (0.8 ml, 4.50 mmol). After a few seconds a white precipitate was formed. The suspension

was stirred for 5 h under an inert atmosphere. An air flow was then introduced into the Et₂O solution for 10 min in order to displace all inert gas, and stirring was continued for 20 h in air to complete the oxidation. The white powder was collected by filtration (1.51 g), dried under vacuum and dissolved in hot methanol (50 ml). After several days at -30 °C, white needles were obtained and identified as the pure (R,S) isomer of 2 (0.966 g, 56%). Single crystals of syn-2 were obtained by slow diffusion of an EtOH solution of 2 onto the same volume of water. syn-2: 1 H NMR (CDCl₃): δ 7.98–7.09 (m, aromatics), 4.62 [d, PCH, ${}^{2}J(PH) = 10.3$ Hz], 3.64 [q, NCH, $^{2}J(HH) = 6.8 \text{ Hz}$], 2.50 (s, b, NH, exchange with D₂O), 1.26 [d, CH₃, ${}^2J(HH) = 6.8 \text{ Hz}$]. ${}^{31}P\{{}^{1}H\} \text{ NMR (CDCl}_3)$: $\delta 31.64$ (s). ${}^{13}C\{{}^{1}H\}$ NMR (CDCl₃): δ 148.32–128.82 (m, C-aromatics), 60.40 [d, PCH, ${}^{1}J(PC) = 78.6$ Hz], 55.25 [d, NCH, $^{3}J(PC) = 11.4 \text{ Hz}$], 21.95 (s, CH₃). IR: $v_{P=O} = 1270 \text{ (CH}_{2}\text{Cl}_{2}$), 1265 cm⁻¹ (CHCl₃). mp = 187 °C. $[\alpha]_D$ (CH₂Cl₂, 25 °C, $c = 3.27 \text{ g/100 ml}) = -63^{\circ}$. Elem. anal. calc. for $C_{27}H_{26}NOP$: C 78.81, H 6.37, N 3.41; found: C 78.83, H 6.45, N 3.77%.

Synthesis of (R,S)- and (S,S)-Ph₂P(S)CH(Ph)NHCH(Ph)-CH₃, 3. To a solution of (S)-PhCH=NCH(Ph)CH₃ (0.739 g, 3.54 mmol) in CH₂Cl₂ (10 ml) was added Ph₂PH (0.63 ml, 3.54 mmol). The mixture was stirred for 3 h under an inert atmosphere, leading to a pale yellow solution. Molecular sulfur (0.139 g, 4.34 mmol) was introduced into the solution and stirring was continued for seven days at room temperature. The solvent was then removed under vacuum leaving an orange viscous oil. The NMR analysis of this crude product showed both diastereomers of 3 with a d.e. (anti) of 70%, slightly contaminated by the imine and the diphenylphosphine sulfide. Crystallization from methanol at -80 °C afforded the pure ligand (1:1 diastereomeric mixture) as a white powder (0.144 g, 29%). Single crystals were obtained by slow diffusion of an ethanolic solution of 3 onto the same volume of water. anti-3: ^{1}H NMR ($C_{6}D_{6}$): δ 8.01– 6.69 (m, aromatics), 4.53 [d, PCH, ${}^{2}J(PH) = 11.7 \text{ Hz}$], 3.60 [q, NCH, ${}^{2}J(HH) = 6.2 \text{ Hz}$], 3.23 (s, br, NH), 1.21 [d, CH₃, $^{2}J(PH) = 6.5 \text{ Hz}].$ $^{31}P\{^{1}H\}$ NMR $(C_{6}D_{6})$: δ 50.28 (s), $(CDCl_3)$: 50.25 (s). ¹³C(¹H) NMR $(CDCl_3)$: δ 145.01–127.06 (m, aromatics), 59.16 [d, PCH, ${}^{1}J(PC) = 66.20 \text{ Hz}$], 55.00 [d, NCH, ${}^{3}J(PC) = 14.8 \text{ Hz}$], 24.64 (s, CH₃). syn-3: ${}^{1}H$ NMR (C_6D_6) : δ 8.01–6.69 (m, aromatics), 4.92 [d, PCH, $^{2}J(PH) = 9.1 \text{ Hz}$], 3.73 [q, NCH, $^{2}J(HH) = 6.2 \text{ Hz}$], 3.43 (s, br, NH, exchange with D₂O), 1.45 [d, CH₃, $^2J(\text{HH}) = 6.7$ Hz]. $^{31}\text{P}^{1}\text{H}$ NMR (C₆D₆): δ 50.68 (s), (CDCl₃): 50.42 (s). $^{13}\text{C}^{1}\text{H}$ NMR (CDCl₃): δ 145.01–127.06 (m, aromatics), 60.37 [d, PCH, $^{1}J(\text{PC}) = 58.35$ Hz], 55.21 [d, NCH, $^{3}J(\text{PC}) = 10.11$ Hz], 22.84 (s, CH₃). IR (CHCl₃) $\nu_{\text{P=S}} = 1103$ cm⁻¹. mp = 115 °C. Elem. anal. calc. for C₂₇H₂₆NSP (MW 427.15): C 75.85, H 6.13, N 3.28, S 7.48; found: C 75.70, H 6.25, N 3.32, S 7.47%.

Catalytic runs

The hydroformylation reactions were carried out in a 300 ml stainless steel Parr autoclave equipped with a magnetic drive and an internal glass vessel. The temperature was controlled by a rigid heating mantle and by a single loop cooling coil. The autoclave was purged three times under vacuum/argon before introducing the catalytic solution (for the amounts used, see footnote to Table 2). The 1:1 CO-H₂ mixture was prepared by mixing the pure gases in a 500 ml stainless steel cylinder before introduction into the autoclave at the desired pressure (see Table 2). The zero time for the kinetic runs (see Fig. 3) was considered as the time at which the desired temperature (Table 2) was reached inside the autoclave. In order to maintain as constant temperature and pressure conditions as possible during each kinetic run, only a few ml of the catalytic solution mixture were carefully withdrawn each time from the autoclave under pressure. The CHCl₃ or C₆D₆ solvent was then rotary evaporated at room temperature and the yellow-orange viscous residue was analyzed by ¹H and ³¹P NMR spectroscopies in CDCl₃ solution.

Crystal structures of syn-2 and syn/anti-3

Single crystals of syn-2 and syn/anti-3 were obtained by slow diffusion of an ethanolic solution of 2 or 3 onto the same volume of water. Intensity data were collected on a Nonius Kappa CCD at 293 K for syn-2 and 110 K for syn/anti-3. Both structures were solved by direct methods and refined by full-matrix least-squares methods (SHELXL-97)³³ with the aid of the WINGX program.³⁴ Non-hydrogen atoms were anisotropically refined. With the exception of the NH hydrogen atom (for both compounds), which was located in the final difference Fourier maps and refined freely, all hydrogen atoms were included in a riding model. Crystallographic data for compounds syn-2 and syn/anti-3 are reported in Table 3.

Table 3 Crystal data and structure refinement for syn-2 and syn/anti-3

	syn-2	syn/anti-3
Formula	C ₂₇ H ₂₆ NOP	C ₂₇ H ₂₆ NSP
M	411.46	427.52
T/K	293(2)	110(2)
Crystal system	Orthorhombic	Monoclinic
Space group	$P2_{1}2_{1}2_{1}$	$P2_1$
a/Å	5.804(1)	9.0532(4)
b/Å	16.911(3)	18.5975(9)
a/Å b/Å c/Å a/°	23.518(6)	13.4262(8)
x/°	90	90
B /°	90	92.218(2)
γ ^{'/ο} μ'ų Z	90	90
μ/A^3	2308.3(8)	2258.8(2)
\mathbf{Z}_{\perp}	4	8
λ/Å	0.71073	0.71073
μ/mm^{-1}	0.137	0.228
Collect. reflect.	8469	14 691
Indep. reflect.	4099 [R(int) = 0.025]	9440 [$R(int) = 0.033$]
Obs. reflect $[I > 2\sigma(I)]$	3776	7329
R (obs. reflect.)	$R_1^a = 0.036, wR_2^b = 0.086$	$R_1^a = 0.042, wR_2^b = 0.075$
R (indep. reflect.)	$R_1^a = 0.041, wR_2^b = 0.089$	$R_1^a = 0.069, wR_2^b = 0.083$

 $^{{}^{}a}R_{1} = \sum \|F_{o}\| - \|F_{o}\| / \sum \|F_{o}\|. \ {}^{b}wR_{2} = [\sum w(F_{o}^{2} - F_{c}^{2})^{2}/\sum [w(F_{o}^{2})^{2}]^{1/2} \ \text{where} \ w = 1/[\sigma^{2}(F_{o}^{2}) + (0.036P)^{2} + 0.49P] \ \text{for } syn\text{-2 and } w = 1/[\sigma^{2}(F_{o}^{2}) + (0.031P)^{2} + 0.0P] \ \text{for } syn/anti-3 \ \text{where} \ P = [\max(F_{o}^{2}, 0) + 2^{*}F_{c}^{2}]/3.$

CCDC reference numbers 164809 and 164810. See http://www.rsc.org/suppdata/nj/b1/b100217l/ for crystallographic data in CIF or other electronic format.

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